Incorporating Battery Energy Storage Systems into Multi-MW Grid Connected PV Systems

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Abstract—The paper analyzes the configuration, design and operation of multi-MW grid connected solar PV systems with practical test cases provided by a 10MW field development. In order to improve the capacity factor, the PV system operates at its maximum power point during periods of lower irradiance, and the power output is limited to a rated value at high irradiance. The proposed configuration also incorporates a utility scale battery energy storage system (BESS) connected to the grid through an independent inverter and benefits of the experience gained with a 1MW 2MWh BESS large demonstrator. The BESS power smoothing and frequency regulation capabilities are illustrated through combined theoretical and experimental studies. The behavior of the grid connected BESS and BESS combined system is studied using a modified IEEE 14-bus test system implemented in PSCAD\textsuperscript{TM}/EMTDC\textsuperscript{TM}. The paper also includes a sizing exercise for energy storage in order to provide dispatchable PV power.

Index Terms—PV, solar, inverter, BESS, energy storage, battery, ancillary functions, IEEE 14-bus, MPPT, PSCAD, frequency regulation.

I. INTRODUCTION

Renewable energy generation is fast developing and solar photovoltaic (PV) systems have surpassed record installations in recent years [1]. Literature on PV related topics includes, for example, techniques for maximum power point tracking (MPPT), which were recently reviewed for example in [2, 3], system simulations [4], power electronic circuit topologies for dc-dc converters and inverters [5, 6].

Renewable energy sources are variable in their nature, and energy storage could be used, in principle, for mitigating related issue. Smoothing the PV output power with the aid of battery energy storage systems (BESS) is discussed in literature and the methods include PV ramp rate control, i.e. the BESS charges or discharges when the PV output power ramp rate exceeds preset limits, which may be determined from forecasts of irradiance data. Other implementations of the power smoothing algorithm include processing of PV power through a low-pass or moving average filter and controlling the BESS to track a power computed based on these values [7–9].

In addition to PV smoothing, ancillary services including frequency and voltage regulation, harmonic compensation, provision of spinning reserves improve operation of the power system, thereby adding further value to storage technologies and also possibly leading to potential deferrals in equipment cost [10]. BESS have been used for multiple functions including energy storage, arbitrage, voltage, and frequency regulation [11–13].

The current paper analyzes the configuration, design and operation of multi-MW grid connected solar PV systems with practical test cases provided by a 10MW field development and a 1MW 2MWh large BESS demonstrator. The work is an expanded follow-up to a previous conference paper by the group of authors [14]. Contributions include methods for optimal design and control of PV modules and BESS and for the systematic study of power system interactions.

The PV system under study is divided into modular sections, each connected to the grid through its own inverter and transformer, as described in the second section of the paper. Sections III and IV discuss the control of the grid connected inverters in order to achieve operation of the PV arrays at the maximum power point or constant power, depending on the level of irradiance, and include simulations results that are backed by experimental data. Section V deals with the battery energy storage system and its control for PV power smoothing, as well as with the operation of the entire PV and BESS system in a modified IEEE 14 bus test case. Other functions of the BESS such as load frequency regulation, with simulations and measurements are discussed. Section VI includes a sizing study of energy storage systems for dispatchable power in a 10 MW PV farm and the paper concludes in Section VII.

II. PV SYSTEM CONFIGURATION AND CONTROL

Multi-MW PV plants are typically divided into several sections [6, 15]. The studied system, which is currently operational on the LG&E and KU E.W. Brown site, employs ten 1.4MW solar arrays, each equipped with a 1MW inverter. A large 1MW 2MWh battery system is also available on site and can be connected as shown in Fig. 1. The configuration includes multiple transformers on the PV and battery side and MPPT controls are directly implemented for the inverters. The power circuit diagram for one modular unit is shown in Fig. 2.

This study employs PSCAD\textsuperscript{TM}/EMTDC\textsuperscript{TM} as the computational engine. The PV cell model incorporated within this software is based on the Norton equivalent electrical circuit, which consists of a current source connected in parallel with Battery Energy Storage Systems into Multi-MW Grid Connected PV Systems,” in IEEE Transactions on Industry Applications, vol. 55, no. 1, pp. 638-647, Jan.-Feb. 2019. doi: 10.1109/TIA.2018.2864696 ©2019 IEEE Copyright Notice. “Personal use of this material is permitted. Permission from IEEE must be obtained for all other uses, in any current or future media, including reprinting/republishing this material for advertising or promotional purposes, creating new collective works, for resale or redistribution to servers or lists, or reuse of any copyrighted component of this work in other works.”
cell and its temperature. The photo-current relationship with the amount of solar irradiance incident on the PV is expressed as a function of the cell temperature, respectively. 

\[ i = i_g - i_o \left[ \exp \left( \frac{V + i R_{sr}}{n k T_c} \right) - 1 \right] - \frac{V + i R_{sr}}{R_{sh}}, \]  

where, \( i_g \) represents the component of cell current due to photons; \( i_o \), the saturation current; \( K \), the Boltzmann constant (\( K = 1.38 \times 10^{-23} \text{J/K} \)); \( q \), the electron charge (\( q = 1.6 \times 10^{-19} \text{C} \)); V, the output voltage; \( T_c \), the cell temperature; \( R_{sh} \), the shunt resistance and \( R_{sr} \), the series resistance. The photo-current \( i_g \) depends on the amount of solar irradiance incident on the PV cell and its temperature. The photo-current relationship with solar irradiance and cell temperature is given as,

\[ i_g = i_{scR} \frac{G}{G_R} \left[ 1 + \alpha T (T_c - T_{cR}) \right], \]  

where, \( i_{scR} \) is the short circuit current at the reference solar radiation and temperature; \( G_R \), the reference solar radiation; \( T_{cR} \), the reference temperature; \( G \), the solar irradiance at which current is being calculated; \( \alpha T \), the temperature coefficient of the photo-current, usually 0.0017A/K for Si solar cells and \( T_c \), the cell temperature. At standard test conditions, \( G_R \) is 1000W/m\(^2\) and \( T_{cR} \), 25°C. Other standard test conditions include the photovoltaic for utility scale application test condition (PTC) with \( G_R \) and \( T_{cR} \) set at 1000W/m\(^2\) and 20°C respectively.

The saturation current, also known as the “dark-current”, is expressed as a function of the cell temperature,

\[ i_o = i_{oR} \left( \frac{T_c^2}{T_{cR}^2} \right) \exp \left[ \left( \frac{1}{T_{cR}} - \frac{1}{T_c} \right) \frac{q e_g}{n k} \right], \]  

where, \( i_{oR} \) is the saturation current at the reference temperature; \( e_g \), the band gap energy of the solar cell material and \( n \), the diode ideal factor, typically 1.3 for silicon solar cells.

In order to meet power electronics conditioning requirements, PV modules are typically connected in series and parallel to form an array. In the system under study, the PV array is made up of 19 modules connected in series and 236 module strings in parallel. Each module, based on manufacturers’ data, has an open circuit voltage of 46.75V and short circuit current of 9.02A. The PV cell and module specifications standard test conditions are given in Table I.

The maximum power that can be delivered by a PV array is primarily dependent on the amount of solar irradiance available and its cell temperature. Since these factors tend to vary over time, there is need to incorporate an algorithm to determine the maximum power point (MPP) for a given irradiance and temperature condition. The incremental conductance (Inc) and perturb and observe (P&O) methods are the most widely used algorithms for MPPT. The P&O method measures the terminal voltage and current of the PV array, perturbs the voltage by adding small disturbances, and observes the change in power. If the perturbation is large, the MPP is determined faster at the expense of accuracy, thus trade offs exist between accuracy and dynamic response.

Furthermore, the P&O method introduces an oscillation in the steady state operation and may fail under conditions of rapidly varying solar irradiance. The Inc method, designed to overcome the drawbacks of the P&O by constantly observing the power-voltage characteristics of the PV system, is used in this paper due to its ability to rapidly and more accurately track the MPP under varying irradiance variations [16].

### III. GRID-CONNECTED INVERTERS

Different methods can be used to ensure that the PV array operates at its MPP, depending on the configuration of the system. While small PV systems rated for a few kW can perform MPPT at module, string and central levels without significant cost implications, multi-MW PV systems typically perform MPPT at array levels.

The PV arrays may be connected through a dc-dc converter, typically boost type and an inverter to the grid. In such a 2-stage configuration, the dc-dc converter is used for MPPT, and the inverter for grid interconnection. Boosting the PV voltage may eliminate the need to interconnect several modules in parallel to the grid.
series, which could lead to significant loss in power output in case of shading. It might also facilitate elimination of a transformer between the inverter and the grid, and additionally more effective MPPT may be achieved [17].

This study explores the operation of the LG&E and KU E.W. Brown system, which employs the inverter for both MPPT and grid interfacing. The LG&E facility includes 10-Freesun FS1050CU-24299T 1MW 2-level inverters, capable of operating with input voltage variations between 700V-1000V, power curtailment capability from 0-100% at 0.1% steps, and an IEEE 1547 utility interconnect with interactive control functions. In such a single stage system, the PV voltage equals the inverter dc-link voltage [18]. Unbalance between the real power input and output leads to variations in this voltage.

Alignment of the reference frame along the grid voltage results in zero quadrature ($q$) axis grid voltage. Thus, the real power and reactive power can be controlled by controlling the direct ($d$) and $q$ axes grid current components respectively as seen in the following,

\[
P_d = \frac{3}{2} V_{gd} i_d, \tag{4}
\]

\[
Q_g = \frac{3}{2} V_{gd} i_q, \tag{5}
\]

where, $P_d$ and $Q_g$ are the real grid and reactive powers, respectively; $V_{gd}$, the $d$-axis component of the grid voltage, and $i_d$, $i_q$, the $d$ and $q$ axis grid current components respectively. The inverter voltages required for this operation are given by,

\[
V_d^* = V_d' + (V_{gd} - \omega_e L_g i_q), \tag{6}
\]

\[
V_q^* = V_q' + (V_{gq} + \omega_e L_g i_d), \tag{7}
\]

where,

\[
V_d' = R_g i_d + L_g \frac{di_d}{dt}, \tag{8}
\]

\[
V_q' = R_g i_q + L_g \frac{di_q}{dt}. \tag{9}
\]

where, $V_d'$ and $V_q'$ are the $d$ and $q$ axes components of the inverter reference voltage respectively, $\omega_e$, the grid angular frequency and $L_g$ and $R_g$, the inductance and resistance between inverter and grid respectively. The reference real or $d$-axis component of current is derived from an outer dc-link voltage controller, which maintains this voltage at a set-point obtained from execution of the InC MPPT algorithm. The reactive current reference, is maintained at zero in this study for unity power factor operation of the PV inverter, however, in principle, its value can be derived from an outer reactive power controller, as shown in Fig. 3.

In order to maintain the currents at their set references and ensure grid synchronization, grid voltage oriented control, illustrated in Fig. 4, is used. A phase locked loop (PPL) block generates, from grid voltage measurements, the phase angle employed in reference frame transformations to decouple the 3-phase inverter currents into direct and quadrature components, which can be independently tuned to control real and reactive power flow into the grid, respectively.

Simulation studies were conducted on the system of Fig. 2, which employs the inverter for both MPPT and dc-ac conversion. Its performance under different conditions and sudden changes of irradiance was compared with a 2-stage system, which includes a dc-dc converter for MPPT and an inverter for grid interfacing. It was observed that the power outputs of the two systems are closely comparable (Fig. 5).

Using the grid connected inverter for MPPT eliminates the additional cost of acquiring a dc-dc converter rated for the entire PV array power and the loss within the converter. Since irradiance and temperature variations lead to little change in the MPP, and thus the dc-bus voltage, normal operation of the inverter is unaffected by varying conditions. Cases where the inverter cannot be configured to control its dc-link voltage, previously studied by the authors [19], or alternatively, situations with the PV array terminal voltage below requirements, may necessitate an intermediate dc-dc stage.

IV. CONSTANT POWER GENERATION

Solar PV plants often limit their power output to meet the standards from regulatory bodies concerning PV system capacity factor. In this system, the ratio of PV rating to the power supplied to the grid is about 1.4:1. At periods when excess solar energy is available, the panels are made to operate in the constant power mode and MPPT is only implemented at periods of lower irradiance. Each of the ten PV sections, although capable of producing 1.4 MW, is controlled to limit its power output to 1 MW.

The system is simulated using PSCAD and the results are compared with experimental data gathered from the LG&E and KU E.W. Brown site over a clear day. This facility includes more than 40,000 fixed tilt solar panels and spans across approximately 45 acres of land and has two weather stations. The
average irradiance collected over the two available weather stations \(I_{\text{exp}}\) was compared with the ac output power as seen in Fig. 6. Studies with similar irradiance variation, but on an accelerated simulation time scale were conducted and agreement with the experimental measurements, especially at earlier times of the day are observed. Later during the day, however, the simulation predictions of power output are larger than the measurements, and this is attributed to the temperature build-up of the PV modules as well as possible irradiance measurement errors.

With increase in irradiance, the power output increases, until it reaches 0.71 p.u. of the peak power. Following this, the power output of each inverter is limited to 1 MW, by imposing saturation limits on the dc-bus voltage controller as seen in Fig. 7. It is seen that the PV array voltage deviates from the MPP value during this period, and only returns to it when the input power to each section falls below 1 MW (Fig. 8). It may be noticed from this figure that working of the PV inverter in the constant power mode causes operating points on the right of the MPP, which could potentially lead to instability. An intermediate dc-dc converter may help mitigate this unstable operation [20]. Two-level inverters typically require a large filter so that the output can meet the required THD standards. A method of reducing the THD of PV systems with two-level inverters is the adoption of multi-level converters, which produce additional switching levels for the inverter making its output more sinusoidal. Additionally, multiple PV systems with two-level inverters may be connected in cascaded to achieve multi-level output voltage [21].

V. Battery Energy Storage Systems (BESS)

The intermittent behavior of renewable energy limits their large scale grid integration. The output power of a PV system varies with change in the level of irradiance, which is generally not constant throughout the day. Batteries can be used to improve power dispatchability by storing excess energy during peak irradiance and discharging to the grid when the power from the solar energy source is small.
The 1MW/2MWh energy storage system at LG&E KU includes a Li-ion battery bank stored in two 6.06x2.44x2.6m shipping containers. The battery modules include 28-cells, with 17-modules stacked per rack such that each container has 10-racks. In order to maintain the battery temperature at the required value, the containers are equipped with heating and cooling systems, which maybe energized from the battery.

Each of the LG Chem M48126P3b1 ESS battery modules has a nominal output voltage of 51.8V and a capacity of 126Ah. These modules are connected in series and parallel so that the terminal voltage from each container is about 950Vdc and it is directly connected to the Dynapower CPS-1000 1MW inverter. This energy storage inverter can be controlled to perform various functions some of which include; the Autonomous Frequency-Watt Mode, where the system charges or discharges depending on the difference between the measured and reference grid frequencies. The inverter can also be controlled for power smoothing, which instructs the system on dynamically modifying the Watt input or output in response to fast changes in the commanded power.

In the studied system, the BESS includes a battery and an inverter, which is controlled using a grid voltage oriented reference frame, such that the d-axis component of the current controls the active power, and the q-axis component, the reactive power. The d-axis current component is derived from an outer power loop, as described in the following,

\[ i_d = (P_{ref}^* - P_{pv} - P_{batt}) \ast \left( K_{p1} + \frac{K_{i1}}{s} \right), \]

The controller regulates the battery power such that the sum of the battery and PV powers follows a desired profile, determined, for example from a power smoothing algorithm (Fig. 9). The reactive current is maintained at zero as the BESS system operates in this study, at unity power factor, though in principle, non-zero values can also be used.

### A. PV Power Smoothing

Smoothing of PV power is generally accomplished by controlling the BESS to track the difference between instantaneous and filtered PV powers for which moving average (MA) or low pass filters are employed. With MA filters, the smoothed or filtered power output variation is found as,

\[ P_n[i] = P_{pv}[i] - \frac{1}{n} \sum_{j=0}^{n-1} P_{pv}[i + j], \]

where, \( P_n \) is the net power to be supplied by the PV and BESS; i, the sampled point; \( P_{pv} \), the power of the PV system and \( n \), the number of points in the average.

The sum of the powers supplied by the battery and PV system is the smoothed output. In other words, the battery absorbs and supplies the “power ripple”. The power rating of the battery may be found as the maximum difference between the PV and the net powers. The battery, net and PV powers for an irradiance variation on a cloudy day in the LG&E and KU E.W. Brown facility are shown in Fig. 10. It is observed that the battery needs to supply approximately 0.5 p.u. of the power, but for very short times, indicating that the BESS is rated relatively high in terms of power, although a relatively small energy rating may be sufficient for this purpose.

The performance of the PV and BESS system when connected to an IEEE-14 bus system, which represents a portion of the American electric power system in the Midwestern US and is widely accepted by researchers to implement new ideas and concepts in power system engineering related topics such as short circuit analysis, load flow studies, and grid interconnection problems [22] is studied. This system consists of 14 buses, 11 loads and 5 generators of which 3 are synchronous condensers and one slack bus. The Manitoba HVDC research center model of the IEEE 14 bus system in PSCAD\textsuperscript{TM}/EMTDC\textsuperscript{TM} was adopted in this study [23].

In the modified IEEE 14 bus system, the 3-phase voltage supply at bus no. 2 is replaced with the designed 10MW PV system connected in parallel with a 100MVA synchronous generator as shown in Fig. 11. With this configuration, the generator, typically operated below its rating, steps up its output power when the PV system integrated with BESS is unavailable due to shading and battery state of charge (SOC) constraints. For the purpose of the study it is considered that the battery’s MW rating is enough to provide all of the power, in case of shading of the PV system. Upto \( t = 4s \), the PV system supplies the power, until it is shaded, when the battery takes over as seen in Fig. 12. The battery can supply the deficit power only for a very short duration of time. At 10s simulation time, the battery’s SOC falls below minimum, and it stops supplying power, leading to a transient reduction in the bus frequency before the adjacent synchronous generator steps up.
its real power output to supply the power deficit from the PV (Fig. 13). In this case, the synchronous generator can supply without significant effect on its operation, the power deficit since it is rated for 100MVA while the PV system is rated for 10MW.

**B. Frequency Regulation**

Power smoothing, and compensating for the effect of clouds include short term power supply applications of energy storage systems. Another such application is primary frequency regulation, which involves the supply of power for a short duration of time, upto 30s [24, 25]. Power supply and load variations are leading causes of frequency variations. An increase in irradiance or decrease in connected loads leads to higher grid frequency and likewise, lower irradiance or peak load demands lead to a reduction in grid frequency. Battery energy storage systems can be used to regulate utility frequency such that the
Fig. 14. Droop characteristics for BESS operation with changes in grid frequency. The real power output is limited to the values corresponding to the rated power of the BESS.

Fig. 15. BESS control in frequency regulation mode. The active component of the current, $i_d^*$, is positive and hence the BESS supplies power when the frequency is below the set point, and current reverses for frequency above this value. The BESS output is maintained at zero when the frequency variation is within $\pm 0.005$Hz.

Fig. 16. The LG&E and KU 10MW universal solar facility on the E. W. Brown power plant site, which also includes GW rated coal and natural gas fired generators (a) Containers for the 1MW 2MWh battery demonstrator (one shown on the right side of the figure with a second container obscured behind it), BESS inverter (center) and 1MW controllable load (left). The research site also includes a SCADA facility, which is not shown (b).

Fig. 17. Schematic diagram for the experimental setup. Two PV inverters are connected to the grid via a single 3-winding 13,200V/390V transformer. All communication data is synchronized with the local data before being uploaded on a private server.

battery charges from the grid when its frequency is above the reference and discharges power to the grid when it is below the reference. The relationship between the frequency change ($\Delta f$) and power variation ($\Delta P$) is given as,

$$\Delta P = P_{ref} - \beta \Delta f.$$  \hfill (12)

The amount of power required to restore the frequency of the grid to its reference value depends on the area frequency characteristics ($\beta$). For this study, this value is assumed to be constant such that 1MW power is required for a 0.05Hz frequency change, and these droop characteristics, seen in Fig. 14, can be used to determine the amount of power required to restore grid frequency based on the frequency deviation. The control system for the BESS uses the difference between the reference frequency ($f^*$) and the actual frequency ($f$) to determine the reference real power output (Fig 17). In this study, the maximum output of the controller is limited to the power rating of the BESS. The performance of the BESS for frequency regulation was compared with experimental data retrieved from the LG&E and KU E.W. Brown Universal Solar Facility, pictured along with the 1 MW/2MWh battery energy storage system in Fig. 16. An accelerated frequency variation similar to the experimental data result was applied to the proposed system. It is observed that the BESS output power is positive when the frequency drops below its reference, and it absorbs power when the frequency exceeds 60 Hz as seen in Fig. 18, in line with experimental measurements, which confirms the successful operation of the BESS for frequency regulation.

VI. ON THE FEASIBILITY OF ENERGY STORAGE SIZING FOR DISPATCHABLE PV

In principle, energy storage systems (ESS) may be sized in order to provide constant, $P_{d}$, dispatchable power to the grid. This can be achieved by charging the ESS when the instantaneous power from the PV system exceeds the set value.
and discharged when required, as illustrated in Fig. 19. The dispatchable power can be defined as

\[ P_d = \frac{1}{T} \int_{0}^{T} P_{ac} \, dt, \]  

where \( T \) is the time period for dispatching, and \( P_{ac} \), the output power from the PV:

\[ P_{ac} = \begin{cases} P_{pv} & \text{if } P_{pv} < P_r \\ P_r & \text{otherwise}, \end{cases} \]

where \( P_{pv} \) is the maximum available PV power and \( P_r \), the rated capacity of the PV plant, which can be "clipped" from the available PV power.

The battery power rating can be obtained as,

\[ P_b = P_r - P_d, \]  

and the energy rating as,

\[ E_b = \int_{T_1}^{T_2} (P_{ac} - P_d) \, dt, \]

where \( T_1 \) is the time corresponding to the positive zero crossing of \( P_{ac} - P_d \), and \( T_2 \), to the negative zero crossing.

A simple analysis indicates that the larger is the difference between the peak available PV power and the PV plant capacity, the smaller would be the rating for the ESS required to provide constant dispatchable power. Limiting the PV power fed to the grid, an approach that "clips" the power to a constant rated value, could be achieved through a combination of methods, including an MPPT control deviation, as previously discussed, panel reorientation etc, such that the equivalent capacity factor is increased, power fluctuations smoothed out and system reliability enhanced [26].

For the case study considered in this paper with a rated power of 10MW and a good capacity factor for a sunny day the average power for a 24h period, which could be constantly dispatched would be 3.6MW. Using the simple analysis previously introduced, this would require an ESS with a rating of 6.5MW and 36MWh. The relatively high ratio of energy to power, which is also available to a somewhat lower extent in the 1MW 2MWh demonstrator previously mentioned, is a typical requirement for renewable energy sources that have a relatively low capacity factor. Large ESS installations employing batteries, such as the 30MW 120MWh Escondido project and the 7.5MW, 30MWh El Cajon development are currently considered in California [27]. As the price of batteries is currently relatively large, BESS technology deployment maybe limited and other ESS systems, such as the innovative pumped hydro solution proposed by Gravity Power [28] may be more feasible.

### VII. Conclusion

This paper presented simulation and experimental studies from a 10MW grid connected single stage inverter solar PV system. In the studied configuration, the inverter enables the operation of the PV system at maximum power point for periods of low irradiance, and in constant power mode for irradiance higher that the value that would deliver the set rated power. It was shown that effective MPPT is achieved in the proposed case by using only an inverter, and that an additional dc-dc converter for this purpose is not required, resulting in substantial cost savings.

A BESS, connected to the grid through its own inverter and transformer, is controlled to smooth the power output from the PV system. Computer models of the proposed combined PV and BESS system have been implemented using as a computational engine the PSCAD/EMTDC software, which is widely used in industrial and utility practice. The results from a modified IEEE 14 bus system illustrate the advantages in terms of transient operation in case of PV shading.
The BESS can also be used to perform ancillary functions such as grid frequency regulation, where it provides or absorbs the power required in order to maintain the utility frequency at its set value. Simulation and experimental results that show good agreement from a system with a large 1MW 2MWh battery demonstrator are presented.

The paper includes a sizing approach for large energy storage systems in order to provide dispatchable power from multi-MW solar PV plants. The energy ratings are calculated to be high, and, while it may not be yet feasible to use batteries for this purpose, despite current demonstrator and planned developments, other storage technologies, such as pumped hydro storage, may be attractive for the purpose.

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